10/591824 6 SEP 2006

NATIONAL PHASE UTILITY APPLICATION

Transmittal to the (DO/EO/US) Under 35 USC 371 Attorney Docket No.: INSIG1.017APC
First Named Inventor: Juliana C. N. Chan
Int'l Application No.: CN2005/000508
Int'l Filing Date: April 15, 2005
Priority Date Claimed: April 15, 2004

Title: METHODS FOR PREDICTING THE RISK OF DIABETIC NEPHROPATHY USING GENETIC MARKERS AND

ARRAYS CONTAINING THE SAME Express Mail Label No.: EV 465565064 US

Direct all correspondence to Customer No.: 20995

Date: September 6, 2006

Page 1 of 2

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United States Patent and Trademark Office P.O. Box 1450 Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Juliana C. N. Chan

2. Maggie C. Y. Ng

3. Wing Yee So

APPLICATION ELEMENTS:

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as published (35 U.S.C. § 371(c)(2)) is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) An Oath or Declaration and Power of Attorney signed by the inventors (35 U.S.C. § 371(c)(4)) with a copy of the Assignment in 4 pages.

OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in 6 pages.
- (X) Sequence Submission Statement in 1 page, and
 - (X) Sequence Listing on paper in 3 pages
 - (X) Sequence Listing in CRF
- (X) Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment).
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing 3 references.
 - (X) Enclosing 3 references.
- (X) A copy of the International Search Report
- (X) Return prepaid postcard.

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Page 2 of 2

FILING FEES:

The present application qualifies for Small Entity Status under 37 CFR 1.27.

FEE CALCULATION							
FEE TYPE & DETERMINATION		SMALL FEE	CALCULATION			TOTAL	
Basic Filing Fee under 1.492(a)		2631 (\$150)				\$150	
Search Fee under 1.492(b) (enter one in TOTAL column)							
(1) USPTO was ISA/IPE	2640 (\$0)]			1		
(2) USPTO was the ISA (2641 (\$50)]			\$200		
(3) Foreign Search Repor	2642 (\$200)]					
(4) No Search Report Enclosed		2632 (\$250)]				
Examination Fee under 1.492(c) (enter one in TOTAL column)							
(1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4)		2643 (\$0)				\$100	
(2) Non US IPEA or Noncompliant Claims		2633 (\$100)]				
Excess Claims	17 - 20 = 0	2615 (\$25)	0	х	25 =	\$0	
Excess Ind. Claims	4 - 3 = 1	2614 (\$100)	1	х	100 =	\$100	
Multiple Dep. Claim		2616 (\$180)				\$0	
Assignment		8021 (\$40)	1	х	40 =	\$40	
TOTAL FEE DUE					\$590		
TOTAL FEE PAID (If Different Than Fee Due)						N/A	

A check in the amount of \$590 to cover the Total Fee Due is enclosed. (X)

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

> Neil S. Bartfeld, Ph.D. Registration No. 39,901

Agent of Record

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10/591824

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

:

Attorney Docket No.

INSIG1.017APC

Applicant(s)

Chan et al.

For

METHODS FOR PREDICTING THE RISK OF

DIABETIC NEPHROPATHY USING GENETIC MARKERS AND ARRAYS

CONTAINING THE SAME

Agent

Neil S. Bartfeld, Ph.D.

"Express Mail" Label No.:

EV 465565064 US

Date of Deposit

September 6, 2006

I hereby certify that the accompanying

Transmittal letter; a copy of the International Application as Published; SIGNED Declaration and Power of Attorney with copy of Assignment in 4 pages; Preliminary Amendment in 6 pages; Sequence Submission Statement in 1 page; Sequence Listing on paper in 3 pages; Sequence Listing in CRF; Recordation Form Cover Sheet and Assignment in 3 pages; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 3 references enclosed; a copy of the International Search Report; Check for Filing Fees; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docketing Agent

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